

## Politically exposed Persons (PeP)

(Please also note the explanation below)

- 1 Do you or did you or a person involved in the contract exercise a high-ranking important public office at the international, European or national level or a public office below the national level, the political importance of which is comparable, e.g. head of state/ government, minister, member of the EU Commission, deputy minister or secretary of state, member of parliament, member of the executive level of political parties, supreme courts, courts of auditors or central banks, ambassador, chargé d'affaires or defence attaché, member of the administrative, management and supervisory bodies of state-owned enterprises, director, deputy director, member of the management body or other head of an intergovernmental international or European organisation?

Yes     No

If yes, which one(s)? \_\_\_\_\_

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- 2 Does a family member or a person known to be close to you hold any of the aforementioned offices?

Yes     No

If yes, please provide name, date of birth, address, kinship/relationship and function \_\_\_\_\_

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## Explanation of politically exposed persons under the Money Laundering Act

A politically exposed person is a person who either holds or has held a high-ranking important public office at the international, European or national level or a public office below national level of comparable political importance, or a family member or a person known to be close to them who fulfils these requirements. An important public office is held, for example, by a head of state/ government, minister, member of the EU Commission, deputy minister or secretary of state, member of parliament, member of the executive level of political parties, supreme courts, courts of auditors or central banks, ambassador, chargé d'affaires or defence attaché, member of the administrative, management and supervisory bodies of state-owned enterprises, director, deputy director, member of the governing body or other leader in an intergovernmental international or European organisation.

## Declaration of Release from Confidentiality

Declaration of release from confidentiality for the use of data protected by § 203 StGB (German Criminal Code)

1. Consent to the transfer of protected data in accordance with § 203 StGB to bodies outside our company (company or persons)
2. Consent to transfer of data to reinsurance companies
3. Consent to the transfer of data to independent agents

The entire explanation can be found on the next page.

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Place, date

Signature of the insured person = Employee

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Surname, First Name, Date of Birth

## Information obligations under the Insurance Supervision Act (VAG) in conjunction with the VAG Information Obligation Ordinance VAG-Info-V)

I received the offer including the general information on a company pension scheme under the Insurance Supervision Act (VAG) as well as the general insurance conditions before joining the pension scheme.

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Place, date

Signature of the insured person = Employee

## Release from confidentiality and final declarations

### Declaration of release from confidentiality for the use of data protected by § 203 StGB (German Criminal Code)

As a life insurance company, we require your release from confidentiality in order to be able to forward protected data according to § 203 of the German Criminal Code, such as the fact that a contract exists with you, to other bodies, e.g. our group IT service provider Bayerische IT GmbH.

The following declarations of release from confidentiality are indispensable for the examination of your application as well as the establishment, implementation or termination of your insurance contract with our company. Should you not provide this, it is generally not possible to conclude the contract.

The declarations relate to the handling of your data protected under § 203 StGB when it is transferred to bodies outside our company.

The declarations apply to persons under your legal responsibility, such as your children to the extent that they do not recognise the significance of this declaration and therefore cannot make their own declarations.

### Transfer of your data protected under § 203 StGB to bodies outside BL die Bayerische Lebensversicherung AG

BL die Bayerische Lebensversicherung AG contractually obliges the following bodies to comply with the regulations on data protection and data security.

#### 1. Assignment of tasks to other bodies (companies or persons)

We do not carry out certain tasks ourselves, such as risk assessment, claims processing or telephone customer care, which may involve the collection, processing or use of your personal data, but rather assign such tasks to another company of the Bayerische Group or another entity. In order to transfer your data protected data in accordance with § 203 of the Criminal Code (StGB), we require your release of confidentiality for us and for other bodies to the extent that is required.

We keep a continuously updated list of the entities and categories of entities that collect, process or use personal data for us in accordance with the agreement, stating the tasks assigned.

The current list is attached to the declaration of consent. The current list can be found on the Bayerische website ([www.diebayerische.de](http://www.diebayerische.de)) under Data protection, or can be obtained from die Bayerische (Service Centre, Thomas-Dehler-Str. 25, 81737 Munich, Tel. 089/6787-0, [info@diebayerische.de](mailto:info@diebayerische.de)).

For the transfer of your data protected under § 203 StGB and its use by the listed entities, we require your declaration of release from confidentiality.

■ I consent to the insurer transferring my data protected under § 203 StGB to the bodies mentioned in the above-mentioned list and release the employees of the insurer from their duty of confidentiality.

#### 2. Transfer of data to reinsurers

In order to secure the fulfilment of your claims, we can obtain reinsurance to cover all or part of the risk. In some cases, the reinsurer provides further reinsurance, for which they also transmit your data. To enable the reinsurer to form their own opinion of the risk or the insured event, we may submit your insurance application or claim to the reinsurer. This is especially the case if the sum insured is particularly high or the risk is difficult to classify.

Moreover, the reinsurer may assist us due to their special expertise in risk or benefit examinations and in the evaluation of procedures.

If reinsurers have assumed the risk cover, they can verify whether we have correctly assessed the risk or a claim.

In addition, data concerning your existing agreements and applications can be transferred to reinsurers as necessary to verify whether and to what extent they can participate in the risk. Data concerning your existing contracts can be transferred to reinsurers for the billing of premium payments and benefit cases.

For the above purposes, anonymous or pseudonymous data will be used as far as possible, however personal information will be used as well.

Your personal data is only used by for reinsurers for the aforementioned purposes. We will inform you about any transfer of your personal data to reinsurance companies.

■ I hereby consent to the insurer transferring my personal protected data pursuant to § 203 StGB – as necessary – to reinsurers and to this data being used for the listed purposes. In this respect, I release the persons working for the insurer from their duty of confidentiality.

#### 3. Transfer of data to independent agents

In the following cases, protected information about your contract may be disclosed to insurance agents in accordance with § 203 StGB.

To the extent necessary for contract-related advising purposes, the agent advising you may receive information as to whether and, if so, under what conditions your contract can be accepted.

The agent who has brokered your contract learns that the contract has been concluded and with what content.

When changing your supervising agent to another agent, contract data may be transferred to the new agent. When changing your supervising agent to another agent, you will be notified of the protected data shared in accordance with § 203 StGB and you will be advised on your right to object.

This also applies to a transfer of contractual data from an insurance broker to an insurance agent. This only applies to the transfer of contractual data from one insurance broker to another insurance broker if the new insurance broker has not yet submitted a broker's power of attorney to the insurance company and a transfer of data is required. In this case, we also require your release from confidentiality. We require your consent for the transfer of data in these cases. The consent also applies to the transfer of data protected under § 203 StGB to service companies commissioned by the insurance broker to receive data. This also includes the broker pool to which the insurance broker belongs, as well as other intermediary brokers.

■ I consent to the insurer transferring my data protected under § 203 StGB in the above cases – as necessary – to the independent insurance agent and service providers of insurance brokers responsible for me and to this data being processed there. As required, I release the employees of the insurer from their duty of confidentiality.

Without the granting of this consent, it will not be possible for us to offer insurance cover.

# GDPR Information for Clients of Bayerische

(as of 11/2021)

We hereby inform you about the processing of your personal data by BL die Bayerische Lebensversicherung AG / BY die Bayerische Vorsorge Lebensversicherung a.G. / BA die Bayerische Allgemeine Versicherung AG (please refer to your contract documents for the respective contractual partner) and the rights to which you are entitled under data protection law.

## Data controller

Please refer to your contract documents for the persons responsible:

BL die Bayerische Lebensversicherung AG;  
BY die Bayerische Vorsorge Lebensversicherung a.G.;  
BA die Bayerische Allgemeine Versicherung AG  
Thomas-Dehler-Str. 25  
81737 Munich  
Tel. 089 / 6787-0  
Fax 089 / 6787-9150  
Email [info@diebayerische.de](mailto:info@diebayerische.de)

Our data protection officer can be reached by post at the above address with the reference "Data Protection Officer" or by e-mail at: [datenschutz@diebayerische.de](mailto:datenschutz@diebayerische.de)

## Purposes and Lawfulness of Data Processing

We process your personal data in compliance with the EU General Data Protection Regulation (GDPR), the Federal Data Protection Act (BDSG), the data protection provisions of the Insurance Contracts Act (VVG) and all other relevant laws. In addition, our company has committed itself to the "Code of conduct for handling personal data by the German insurance industry", which more precisely defines the above-mentioned laws for the insurance industry. This can be found at <https://www.diebayerische.de/code-of-conduct>

If you apply for insurance cover, we require the information you provide in order to conclude the contract and to assess the risk we are to assume. Upon conclusion of the insurance contract, we process this data in order to fulfil the contractual relationship, e.g. for policy issuance or invoicing. We require damage details, for example, in order to verify whether an insured event has occurred and the extent of the damage.

**The conclusion or the execution of the insurance contract is not possible without the processing of your personal data.**

In addition, we require your personal data for the creation of insurance-specific statistics, e.g. for the development of new rates or to meet regulatory requirements. We use the data from all existing contracts with a Bayerische insurance company to analyse the entire customer relationship, for example to advise on amending or supplementing a contract, to make goodwill decisions or to provide comprehensive information. The legal basis for this processing of personal data for pre-contractual and contractual purposes is Art. 6 para. 1 (b) GDPR. Insofar as special categories of personal data (e.g. your health data when concluding a life insurance contract) are required for this, we obtain your consent in accordance with Art. 9 para. 2 (a) in conjunction with Art. 7 GDPR. If we compile statistics with these data categories, this is done on the basis of Art. 9 para. 2 (j) GDPR in conjunction with § 27 BDSG (Federal Data Protection Act).

We also process your data to protect the legitimate interests of us or third parties (Art. 6 para. 1 (f) GDPR). This may be necessary in particular:

- to provide IT and operational safety,
- to advertise our own insurance products and other products of the companies of the Bayerische Group and their cooperation partners as well as for market and opinion surveys,
- to prevent and investigate criminal offences. In particular, we use data analysis to detect signs of possible insurance fraud.

In addition, we process your personal data to fulfil legal obligations such as regulatory requirements, commercial and tax data retention obligations or our advisory obligations. In this case, the legal basis for the processing is the respective legal regulations in connection with Art. 6 para. 1 (c) GDPR. Should we wish to process your personal data for a purpose not mentioned above, we shall inform you in advance, as required by law.

## Categories of Recipients of Personal Data

### Reinsurers:

Risks we assume are insured through special insurance companies (reinsurers). For this purpose, it may be necessary to transfer your contract and, if applicable, claims data to a reinsurer so that they can form their own opinion of the risk or the insured event. Moreover, the reinsurer may assist our company due to their special expertise in risk or benefit examinations and in the evaluation of procedures. We transfer your data to the reinsurer only as necessary for the fulfilment of our insurance contract with you or as required to safeguard our legitimate interests. General Reinsurance AG is one of our reinsurers. Further information is available at: [https://media.genre.com/documents/PN\\_Art14\\_DEUTSCH.pdf](https://media.genre.com/documents/PN_Art14_DEUTSCH.pdf) You can also request the information using the above contact information.

E+S Reinsurance AG is our supplementary health insurance reinsurer. More information is available at:

<https://www.es-rueck.de/datenschutz-es>

You can also request this information using the contact details above.

### Agent:

Insofar as an agent attends to your insurance contracts, your agent will process the application, contract and claim data required to conclude and execute the contract. Our company also transfers this data to the agents acting on your behalf, insofar as they require the information to assist and advise you in your insurance and financial services matters.

### Data processing within the business group:

Specialised companies or divisions within our Group of companies perform certain data processing tasks centrally for the Group companies. If an insurance contract exists between you and one or more companies in our Group, your data may be processed centrally by a Group company, for example for the central administration of address data, for telephone customer service, for contract and benefit processing, for collection and disbursement or for joint mail processing. In our list of service providers <https://www.diebayerische.de/dienstleisterliste> you will find the companies that participate in centralised data processing.

#### External service providers/contractors:

In order to fulfill our contractual and legal obligations we rely on some external service providers. A list of the contractors and service providers employed by us, with whom there are not only temporary business relationships, can be found in the current version on our website at <https://www.diebayerische.de/dienstleisterliste>

We also transfer personal data to contractual partners (e.g. ad hoc advisers) for the purposes of billing and remuneration.

#### Claims settlement in legal expenses insurance:

In the case of legal expenses insurance, BA die Bayerische Allgemeine Versicherung AG transfers your data to Jurpartner Services GmbH as its claims settlement company in accordance with § 164 VAG. The legal basis for these transfers is Art. 6 para. 1 (b) and (f) GDPR. Transfers on the basis of these provisions may only take place insofar as this is necessary to safeguard the legitimate interests of the company and does not outweigh the interests or fundamental rights and freedoms of the data subject, which require the protection of personal data. Detailed information about Jurpartner Services GmbH and the details of data processing can be found at:

<https://ideal.jurpartner.de/pdf/JPS-Informationsblatt.pdf>

#### Other recipients:

In addition, we may transfer your personal data to other recipients, such as authorities to comply with statutory notification obligations (e.g. social insurance carriers, tax authorities or law enforcement authorities).

#### **Data retention period**

We delete your personal data as soon as it is no longer required for the above-mentioned purposes. In exceptional cases, personal data may be kept for the period during which claims can be asserted against us (statutory limitation period of three or up to thirty years). In addition, we retain your personal data insofar as we are legally obliged to do so. Corresponding proof and data retention obligations arise from the German Commercial Code, the Tax Code and the Money Laundering Act, among others. Accordingly, the data retention periods are thereafter up to ten years.

#### **Rights of the Data Subject**

You may request information on the processing of your personal data at the above address. In addition, under certain circumstances, you may request the correction or deletion of your data. Furthermore, you have a right to limit the processing of your data and a right to receive the information you provide in a structured, consistent and machine-readable format.

#### **Right to Object**

**You have the right to object to the processing of your personal data for direct marketing purposes. If we process your data in order to safeguard legitimate interests, you may object to this processing if your particular situation gives rise to reasons that speak against the processing of data. If we process your data on the basis of your consent, you may revoke your consent at any time. The withdrawal of consent shall not affect the legality of the processing carried out based on the consent prior to its withdrawal.**

#### **Right to Object**

You have the option to lodge an objection with the aforementioned data protection officer or with a data protection supervisory authority. The data protection supervisory authority responsible for us is: Bayerisches Landesamt für Datenschutzaufsicht (Bavarian State Office for Data Protection Supervision)  
PO Box 606 | 91511 Ansbach | Germany

#### **Notification and Information System for the Insurance Industry**

Notification and information system of the insurance industry BA die Bayerische Allgemeine Versicherung AG uses the notification and information system (HIS) of informa HIS GmbH to assist in risk assessment in the event of an application, to clarify the facts of a claim and to combat insurance fraud. This requires the exchange of certain personal data with the HIS. You can find further information at:

[https://besurance-his.de/HIS\\_Betroffeneninformation\\_One\\_Pager.pdf](https://besurance-his.de/HIS_Betroffeneninformation_One_Pager.pdf)

#### **Data exchange with your former insurer**

In order to be able to verify your details when concluding the insurance contract (e.g. to carry over a no-claims bonus in motor third party liability insurance) or your details when an insured event occurs and to supplement them if necessary, personal data may be exchanged with the previous insurer named by you in the application to the extent necessary for this purpose.

#### **Credit Checks**

Insofar as it is necessary to safeguard our legitimate interests, we request information from credit agencies (e.g. infoscore Consumer Data GmbH, Creditreform AG) to assess your general payment behaviour. Further information about infoscore Consumer Data GmbH can be found at <https://finance.arvato.com/icdinfoblatt>.

Further information about the Creditreform Group companies can be found at <https://www.creditreform.de/eu-dsgvo.html>

## Fund selection for Pangaea Life bAV Invest

Name of the insured person: \_\_\_\_\_

Fonds	ISIN*	Investor profile of die Bayerische	Allocation (mind. 10%)**
Pangaea Life Blue Energy	LU1675428244	<b>Growth</b>	
Pangaea Life Blue One Living	LU2352586924	<b>Security</b>	

\* International Securities Identification Number  
\*\* Total must be 100 %

\_\_\_\_\_  
Date and signature of employee

## Fund selection for bAV Invest pension fund

Name of the insured person: \_\_\_\_\_

Possible individual funds select here: <https://www.diebayerische.de/fondsdaten>

Fonds	ISIN*	Allocation (mind. 5% - max. 10 Fonds)**

\* International Securities Identification Number  
\*\* Total must be 100 %

\_\_\_\_\_  
Date and signature of employee